



17th Floor | Four Embarcadero Center | San Francisco, CA 94111-4106 415-434-9100 office | 415-434-3947 fax | www.sheppardmullin.com

FACSIMILE COVER SHEET

** THIS FACSIMILE TRANSMISSION ALSO WILL BE MAILED **

Date:	March 3, 2004		File Number: 01ZS-104164				
Total number of pages: (including 1-page cover sheet) 34			If all pages are not received, please call Sheppard Mullin at 415-434-9100, Ext. 3203				
TO:			<u>Facsimile No.</u>	Telephone No.			
U.S. Patent & Trademark Office			(703) 872-9306				
From:	Hal R. Yeager, Ph.D.		Direct Dial:	415-774-3203			
Re:	USSN 09/758,963						

MESSAGE: PLEASE SEE ENTER THE FOLLOWING AMENDMENT.

NOTE: THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Junji Yoshida, et al.

Serial No.:

09/758,963

Filed:

January 10, 2001

For:

Semiconductor Laser Apparatus and

Pabrication Method of Same, and

Semiconductor Laser Module

Examiner:

Nguyen, Dung T.

Art Unit:

2828

Atty Docket: 7742-06 (6635-60093)

shown below, being:
() deposited with the United States Postal Service with

I hereby certify that this correspondence is, on the date

() deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 with an appropriate mail stop identified, if required.

CERTIFICATE OF MAILING/TRANSMISSION

(37 C.F.R. § 1.8A)

(X) transmitted by facsignile to the Patent and Trademark

Office.

Hate

Hal R. Yeage

AMENDMENT TRANSMITTAL

Mail Stop Non-Fee Amendment, Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 DUPLICATE

Sir:

Transmitted herewith for filing is an Amendment Under 37 CFR 1.111 and 1.115 in the above-identified application.

- () A paper requesting correction/substitution of drawings is attached.
- : (X) No additional claims fee is required.

FEE CALCULATION FOR CLAIMS AS AMENDED

Claims Rem. Pr	laims reviously aid For Extra	(3)Present Rate	Half	Addit. Fee	OR	Full Rate	Add Fee	
*Total 50 Minus *Indep. 7 Minus [] First Presentation of Mul	14	= 0 = 0	x9= x42= +140=	\$ \$		x18= x84= +280=	\$ \$ \$	0.00 0.00
•	i.	•	Total Addit.	Fee \$	OR	Total Addit. Fee		0.00

- () Small Entity Fee (reduced by half)
- () A check in the amount of \$____ is attached.
- () Charge \$ to Deposit Account No. 501395.

The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 501395. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 501395. This sheet is filed in duplicate.

March 3, 2004

SHEPPARD MULLIN RICHTER & HAMPTON LLP

333 South Hope Street, Floor 48

Los Angeles, CA 90071-1406

Telephone: (415) 434-9100

DUPLICATE

Hal R. Yeager

Registration No.: 35,419

-1-

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Junji Yoshida, et al.

Serial No.:

09/758,963

Filed:

January 10, 2001

For:

Semiconductor Laser Apparatus and Fabrication Method of Same, and

Semiconductor Laser Module

Examiner:

Nguyen, Dung T.

Art Unit:

2828

Atty Docket:

7742-06 (6635-60093)

CERTIFICATE OF MAILING/TRANSMISSION

(37 C.F.R. § 1.8A)

I hereby certify that this correspondence is, on the date

shown below, being:

() deposited with the United States Postal Service with

sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450 with an appropriate mail stop

identified, if required.

(X) transmitted by facsignile to the Patent and Trademark

Office.

AMENDMENT TRANSMITTAL

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is an Amendment Under 37 CFR 1.111 and 1.115 in the above-identified application.

- A paper requesting correction/substitution of drawings is attached. ()
- (X) No additional claims fee is required.

FEE CALCULATION FOR CLAIMS AS AMENDED

Claims Rem. After Amend.	Claims Previously Paid For Extra	(3)Present Rate	Half	Addit. Fee	OR	Full Rate	Add Fee	
*Total 50 Min *Indep. 7 Min	nus 14	= 0 · = 0	x9= x42=	\$		x18= x84=	\$	0.00
[] First Presentation of Multiple Dep. Claim			+140=	. \$		+280=	\$	
	/ 1 11 1 10		Total Addit.	Fee \$	OR	Total Addit. Fee	\$	0.00

- () Small Entity Fee (reduced by half)
- () A check in the amount of \$ is attached.
- () Charge \$ to Deposit Account No. 501395.

The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 501395. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, postdated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 501395. This sheet is filed in duplicate.

March 3, 2004

SHEPPARD MULLIN RICHTER & HAMPTON LLP

333 South Hope Street, Floor 48

Los Angeles, CA 90071-1406

Telephone: (415) 434-9100

W02-SF:5HY\61404583.1

01ZS-104164

NO.112

P.4/34

MAR 0 3 2004



PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Junji Yoshida, et al.

Serial No.:

09/758,963

Filed:

January 10, 2001

For:

Semiconductor Laser Apparatus and

Fabrication Method of same, and Semiconductor Laser Module

Examiner:

Nguyen, Dung T.

Art Unit:

2828

Atty Docket:

7742-06 (6635-60093)

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8A)

I hereby certify that this correspondence is, on the

date shown below, being:

() deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

with an appropriate mail stop identified, if

required.

(X) transmitted by facsimile to the Patent and

Trademark Office.

2/3/ Teate

Hal R. Yeage

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT "D"

Sir:

The following amendments and remarks are submitted after an interview held with Examiners Nguyen and IP on February 12, 2004. Reexamination and reconsideration of the application in light of the following amendments and remarks are respectfully requested.

Amendments to the Claims are reflected in the Listing of Claims which begins on page 2 of this paper;

Remarks begin on page 16 of this paper.